# Femtosecond Time-Resolved Fluorescence Study of Photoisomerization of trans-Azobenzene

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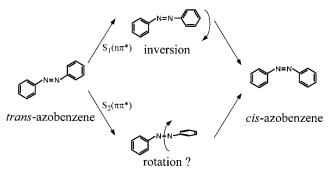
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The electronic relaxation and isomerization mechanism of *trans*-azobenzene after the  $S_2(\pi\pi^*) \leftarrow S_0$  photoexcitation were investigated in solution by steady-state and femtosecond time-resolved fluorescence spectroscopy. In the steady-state fluorescence spectrum, two bands were observed with their peaks at ~390 nm (~25 750 cm<sup>-1</sup>) and ~665 nm (~15 000 cm<sup>-1</sup>). These fluorescence bands showed good mirror images of the  $S_2(\pi\pi^*) \leftarrow S_0$  and  $S_1(n\pi^*) \leftarrow S_0$  absorption bands, so that they were assigned to the fluorescence from the  $S_2(\pi\pi^*)$  and  $S_1(n\pi^*)$  states having "planar" structures. The lifetimes of the  $S_2$  and  $S_1$  states were determined as ~110 fs ( $S_2$ ) and ~500 fs ( $S_1$ ) by time-resolved measurements. The quantum yield of the  $S_2 \rightarrow S_1$  electronic relaxation was evaluated by comparing the intensity of the  $S_2$  and  $S_1$  fluorescence, and it was found to be almost unity. This implies that almost all molecules photoexcited to the  $S_2(\pi\pi^*)$  state are relaxed to the "planar"  $S_1(n\pi^*)$  state. The present fluorescence data clarified that the isomerization following  $S_2(\pi\pi^*)$  photoexcitation takes place after the  $S_2 \rightarrow$  planar  $S_1$  electronic relaxation and that the rotational isomerization pathway starting directly from the  $S_2(\pi\pi^*)$  state does not exist. It was thus indicated that the isomerization mechanism of azobenzene is the inversion isomerization occurring in the  $S_1$  state, regardless of difference in initial photoexcitation. The relaxation pathways in the  $S_1$  state were also discussed on the basis of spectroscopic and photochemical data.

## 1. Introduction

Azobenzene and its derivatives are typical molecules showing cis-trans photoisomerization, and they have been attracting much interest not only from the viewpoint of fundamental photochemistry but also for their high potential in industrial applications. For example, azobenzene derivatives are considered to be useful as materials for the liquid crystal, light-driven switches<sup>1,2</sup> and image storage devices<sup>3</sup> having fast response time. Functions in these industrial applications are based on cistrans photoisomerization of the azobenzene moiety so that the elucidation of the isomerization mechanism of azobenzene is very important. Photoisomerization as well as other properties of trans-azobenzene has been extensively investigated by variety of physicochemical methods such as UV-visible absorption,4-7 Raman,<sup>8,9</sup> NMR,<sup>10</sup> and theoretical calculations.<sup>11,12</sup> So far, it has been said that photoisomerization of trans-azobenzene (we simply call it azobenzene hereafter) takes place with two different isomerization mechanisms (Scheme 1); with  $S_1(n\pi^*)$  $\leftarrow$  S<sub>0</sub> excitation the isomerization occurs by inversion around one N atom (inversion mechanism), whereas rotation around the NN double bond is induced by  $S_2(\pi\pi^*) \leftarrow S_0$  excitation (rotation mechanism). The inversion mechanism was proposed and experimentally demonstrated by Rau and Lüddecke.<sup>4</sup> They synthesized azobenzene derivatives in which the rotational motion was prohibited, but they observed the same photoi**SCHEME 1** 



somerization quantum yield as for azobenzene itself. On the other hand, the rotational mechanism after S<sub>2</sub> photoexcitation has not been well-proved, although it is the established mechanism for isomerization around the C=C double bond which is induced by  $\pi\pi^*$  excitation.<sup>13–16</sup>

The photoisomerization mechanism and dynamics can be more directly examined by time-resolved spectroscopy. However, the number of reports is still limited for azobenzene because the isomerization process takes place very rapidly. Recently, femtosecond UV-visible absorption measurements<sup>17–19</sup> were carried out with the  $S_2(\pi\pi^*) \leftarrow S_0$  excitation and the following dynamics was observed. Immediately after photoexcitation, a transient absorption assignable to the  $S_2(\pi\pi^*)$  state appears around ~475 nm. After the decay of this transient (<200 fs), another transient absorption peaked around 400 nm appears and it decays with a time constant of ~1 ps in hexane. Besides,

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a minor slow decay component having a lifetime of ~16 ps is also observed at ~400 nm. Lednev et al. interpreted the observed dynamics according to the rotational mechanism. They assigned the 400-nm transient(s) to the twisted excited states in the S<sub>2</sub> (lifetime ~1 ps) and S<sub>1</sub> (~16 ps) states that are supposed to appear in the rotational isomerization pathway. The time-resolved absorption study successfully revealed the time scale of the photoisomerization process of azobenzene. However, the interpretations of the experimental data were questionable because absorption spectra cannot provide clear information about the molecular structure.

Unambiguous assignments of the dynamics observed after S<sub>2</sub> photoexcitation, especially the assignment of the 400-nm transient, were made by picosecond time-resolved Raman spectroscopy,20 which affords direct information about the molecular structure<sup>21-23</sup> as well as the vibrational relaxation process. The results of the Raman study can be summarized as follows. First, it was found that the lifetime of the 400-nm transient strongly depends on the solvent and that the lifetime becomes as long as 13 ps in ethylene glycol. This means that the 400-nm transient is not the  $S_2$  state but the  $S_1$  state. Second, the NN stretching frequency of the 400-nm transient (the  $S_1$ state) was determined by the 15N-substitution, and it was clarified that the frequency in the  $S_1$  state is very close to that of the  $S_0$  state (1428 cm<sup>-1</sup> in  $S_1$  and 1440 cm<sup>-1</sup> in  $S_0$ ). This implies that the NN bond retains a double bond character in the  $S_1$  state so that the  $S_1$  state is not the twisted state but has a "planar" structure around the central NN bonding. Third, in the time-resolved anti-Stokes Raman measurements, it was observed that the hot bands due to the S<sub>0</sub> state appear in accordance with the decay of the  $S_1$  state and that the  $S_0$  hot bands disappear with a time constant of  $\sim 16$  ps. Therefore the 16-ps dynamics, which was also observed in transient absorption measurements, can be straightforwardly assigned to the vibrational cooling process in the S<sub>0</sub> state. (This assignment was consistent with the result of the femtosecond time-resolved infrared study.24) The picosecond Raman study clarified that the dynamics observed in the femtosecond and picosecond time region is the  $S_2 \rightarrow S_1 \rightarrow S_0$  electronic relaxation process that takes place in a molecule preserving essentially planar structure around NN bonding.

The planar S<sub>1</sub> state appearing after S<sub>2</sub> photoexcitation is highly likely the same state as that prepared by the direct  $S_1(n\pi^*) \leftarrow$  $S_0$  photoexcitation. Therefore, from the viewpoint of photoisomerization, the results of the picosecond Raman study suggested that the inversion isomerization in the  $S_1$  state also takes part in the isomerization following the S<sub>2</sub> excitation. So far, it has been believed that, in the case of S<sub>2</sub> photoexcitation, the excitation induces the rotation around the NN double bond to generate the twisted excited state and then the cis isomer is produced exclusively from this twisted intermediate (the rotational pathway). Therefore, we now need to discuss which is the major isomerization pathway after  $S_2(\pi\pi^*)$  photoexcitation, the rotational isomerization directly starting from the  $S_2(\pi\pi^*)$ state or the inversion isomerization that takes place after electronic relaxation to the planar  $S_1$  state. In other words, we need to reconsider the existence of the S2 rotational pathway itself.

In this paper, we report the femtosecond time-resolved, as well as steady-state, fluorescence study of trans-azobenzene with  $S_2(\pi\pi^*)$  photoexcitation. We measured the spectrum and lifetime of the  $S_2$  and  $S_1$  fluorescence and confirmed the arguments that were made in the previous picosecond Raman study. More importantly, we evaluated the quantum yield of the  $S_2 \rightarrow S_1$ 

vertical relaxation process by comparing the relative intensity between the S<sub>2</sub> and S<sub>1</sub> fluorescence with that between the S<sub>2</sub> and S<sub>1</sub> absorption. The obtained fluorescence data clearly show that the S<sub>2</sub> state is almost exclusively relaxed to the planar S<sub>1</sub> state and that the rotational isomerization pathway starting directly from the S<sub>2</sub> state *does not* exist.

# 2. Experimental Section

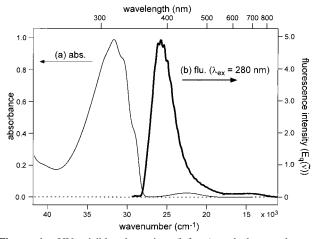
The experimental setup used for femtosecond time-resolved fluorescence measurements has been already described elsewhere.<sup>25,26</sup> Briefly, a mode-locked Ti:sapphire laser (Spectra-Physics, Tsunami) that was pumped by an Ar<sup>+</sup> laser (Spectra-Physics, Beamlok 2060-10SA) provided femtosecond pulses (840 nm, 10 nJ, 60 fs) at a repetition rate of 82 MHz. The output from the Ti:sapphire oscillator laser was frequency doubled by a 0.5-mm LBO crystal (420 nm, 1.8 nJ) and then tripled by a 1-mm BBO crystal (280 nm, 560 pJ). The generated third harmonic pulses were focused onto a thin-film-like jet stream of a sample solution for photoexcitation. The residual fundamental pulses after the third harmonics generation were used as gate pulses for the fluorescence up-conversion process. The fluorescence from the sample was collected by using an elliptic mirror and then focused into a 0.5-mm BBO crystal to be mixed with the gate pulse. The up-converted signal was separated by filters and a monochromator (Jovin-Yvon, HR-320) and it was detected by a photomultiplier (Hamamatsu, H585) with a photon counter (Stanford Research Systems, SR400). The fluorescence was measured with the magic angle condition by tilting the excitation polarization with respect to the gate polarization. The time resolution of the time-resolved fluorescence measurement was evaluated by the cross-correlation trace between the excitation and gate pulses, and it was typically  $\sim$ 230 fs (fwhm). All measurements were performed at room temperature.

Steady-state fluorescence spectrum was measured using the detection system of our picosecond time-resolved Raman apparatus.<sup>16</sup> The third harmonic pulses of the output from a picosecond mode-locked Ti:sapphire laser (280 nm, 11 pJ, 1.8 ps at a repetition rate of 90 MHz) were focused onto a thinfilm-like jet stream of a sample solution with a quartz lens (f =50 mm) for photoexcitation. The fluorescence signals were analyzed with a polychromator (Jovin-Yvon, HR-320) and detected by a liquid nitrogen cooled CCD (Princeton Instruments, LN/CCD-1100PB). The steady-state measurement was also carried out with the magic angle condition. Sensitivity correction of the detection system was carried out using a standard lamp (Ushio, 3230 K color temperature), and the spectral distortion due to the self-absorption effect was also corrected. The wavelength resolution of the steady-state measurements was  $\sim 1$  nm. Steady-state absorption spectra were measured with a commercial spectrometer (Hitachi, U-3400).

Azobenzene (*trans*) was purchased from Wako Pure Chemical Industries and was recrystallized 3 times from methanol. The purified sample was sufficiently dried in a drybox before use. The samples were dissolved in hexane (Wako Pure Chemical Industries, HPLC grade) and the solutions with a concentration of  $5.0 \times 10^{-3}$  mol dm<sup>-3</sup> were used for the time-resolved and steady-state fluorescence measurements. A fresh sample solution was prepared for each measurement.

#### 3. Results and Discussions

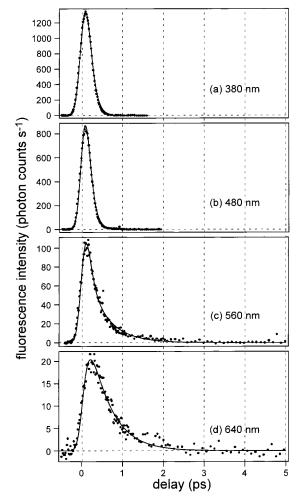
**3.1. Steady-State Fluorescence Spectrum.** Ground-state azobenzene shows two absorption bands in the UV-visible region. The intense absorption band peaked at ~315 nm (~31 750 cm<sup>-1</sup>) is assigned to the  $S_2(\pi\pi^*) \leftarrow S_0$  transition and



**Figure 1.** UV-visible absorption (left, a) and the steady-state fluorescence spectrum obtained with the excitation at 280 nm (right, b) in hexane ( $5.0 \times 10^{-3} \text{ mol dm}^{-3}$ ). For the fluorescence spectrum, the spectral distortion due to the self-absorption effect as well as the detector sensitivity has been corrected.

the weak band peaked at  $\sim$ 450 nm ( $\sim$ 22 220 cm<sup>-1</sup>) to the S<sub>1</sub>- $(n\pi^*) \leftarrow S_0$  transition. We measured steady-state fluorescence spectra with 280-nm photoexcitation. This excitation wavelength corresponds to the blue side of the  $S_2(\pi\pi^*) \leftarrow S_0$  absorption  $(\epsilon_{280} \approx 10\ 000\ \text{mol}^{-1}\ \text{dm}^3\ \text{cm}^{-1})$ , and the molecules are initially photoexcited to the  $S_2(\pi\pi^*)$  state. The steady-state fluorescence spectrum measured from a hexane solution (5.0 x  $10^{-3}$  mol dm<sup>-3</sup>) is compared with the absorption spectrum in Figure 1. The fluorescence spectrum is represented as the photon-number intensity in the frequency space in this figure. As clearly seen, the intensity maximum of the steady-state fluorescence is located at  $\sim$ 390 nm ( $\sim$ 25 750 cm<sup>-1</sup>), which is energetically higher than the  $S_1(n\pi^*) \leftarrow S_0$  transition. In addition, the main fluorescence band shows a good mirror image of the  $S_2(\pi\pi^*) \leftarrow S_0$  absorption band. These facts indicate that the major component of the steady-state fluorescence is not the  $S_1$  fluorescence but the  $S_2$ fluorescence. However, the fluorescence spectrum extends to the red region and it exhibits a very weak peak around  $\sim 665$ nm ( $\sim 15\ 000\ \text{cm}^{-1}$ ). This second fluorescence band shows a mirror image of the  $S_1(n\pi^*) \leftarrow S_0$  absorption band so that it is attributable to the S<sub>1</sub> fluorescence. (This assignment is further confirmed by the time-resolved measurements described in the next section.) The observed spectral feature directly shows that the steady-state fluorescence spectrum consists of two components, the  $S_2(\pi\pi^*)$  and the  $S_1(n\pi^*)$  fluorescence. The appearance of the  $S_1$  fluorescence after  $S_2$  photoexcitation means that the planar S1 state (the Franck-Condon active state from the S0 state) is certainly produced by the  $S_2 \rightarrow S_1$  electronic relaxation, as argued by the previous picosecond time-resolved Raman study.<sup>20</sup> Although azobenzene is one of the most fundamental molecules, to the authors' best knowledge, no reliable steadystate fluorescence spectrum had been reported because of its very low quantum yield.27,28 In the present measurement, we could successfully observe fluorescence from azobenzene, taking advantage of a highly sensitive multichannel detection system. The steady-state fluorescence spectrum obtained in the present measurements is significantly different from the time-resolved spectrum taken with the Kerr-gate method.<sup>29,30</sup>

It is noted that the steady-state fluorescence of *trans*azobenzene does not obey Kasha's rule because the S<sub>2</sub> fluorescence appears with much higher intensity than the S<sub>1</sub> fluorescence. As clearly demonstrated by our recent femtosecond fluorescence study,<sup>31</sup> fluorescence from highly excited singlet (S<sub>n</sub>) states are observable in the femtosecond time region, even



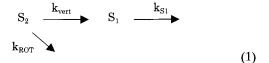
**Figure 2.** Femtosecond time-resolved fluorescence signals obtained from azobenzene in hexane ( $5.0 \times 10^{-3} \mod dm^{-3}$ , 280-nm excitation) at 380 nm (a), 480 nm (b), 560 nm (c), and 640 nm (d). The dotted circles are the experimental data, and the solid curves are the results of the fitting analysis. The time resolution of the measurements is ~230 fs.

if they are not well recognized in steady-state fluorescence spectra. In usual cases, the lifetime of the  $S_n$  state is so short that the  $S_n$  fluorescence becomes negligibly weak compared with long-lived  $S_1$  fluorescence in the (time-integrated) steady-state fluorescence spectrum. In the case of azobenzene, however, the  $S_1$  fluorescence is extremely weak even in the time-integrated spectrum because of its very short lifetime and its optically forbidden nature. As a consequence, the  $S_2$  fluorescence becomes the major component although the quantum yield of the  $S_2$  fluorescence is very low as in usual molecules that obey Kasha's rule.

**3.2. Time-Resolved Fluorescence.** Femtosecond timeresolved fluorescence after  $S_2(\pi\pi^*)$  photoexcitation was measured from a hexane solution (5.0 x  $10^{-3}$  mol dm<sup>-3</sup>) for a wide wavelength range from 340 to 680 nm by fluorescence upconversion. The temporal behavior of the fluorescence signals varies with the change of wavelength, reflecting the dual nature of the fluorescence of azobenzene. Four typical time-resolved fluorescence traces are shown in Figure 2. The fluorescence, looks close to the cross-correlation trace. It indicates that the lifetime of the S<sub>2</sub> state is comparable with the instrumental response. Fluorescence in the near-ultraviolet region (340–420 nm), which we assign to the S<sub>2</sub> fluorescence, exhibits almost the same temporal behavior. The second slower fluorescence

component becomes noticeable in the longer wavelength region. In the decay at 560 nm, the amplitude of the second component becomes comparable to that of the ultrafast S<sub>2</sub> fluorescence component, and then it becomes predominant at 640 nm, which corresponds to the peak of the weak fluorescence band assigned to the S<sub>1</sub> fluorescence. We checked the concentration dependence of the fluorescence signals for 5 x  $10^{-3}$ , 1 x  $10^{-3}$ , and 5 x 10<sup>-4</sup> mol dm<sup>-3</sup> samples and observed indistinguishable fluorescence dynamics. This assured us that there is no effect due to aggregation under the present experimental condition. (The absence of the azobenzene aggregates was also confirmed by checking steady-state UV-visible absorption spectra.) The obtained time-resolved fluorescence data clearly showed that the fluorescence consists of the  $S_2$  and  $S_1$  fluorescence and confirmed our assignment for the fluorescence bands in the steady-state spectrum. The time-resolved fluorescence signals decayed to the dark level within 5 ps, indicating that there is no other long-lived fluorescent state.

To obtain quantitative information from the fluorescence data, we carried out a global fitting analysis of the time-resolved fluorescence traces on the basis of the following relaxation scheme,



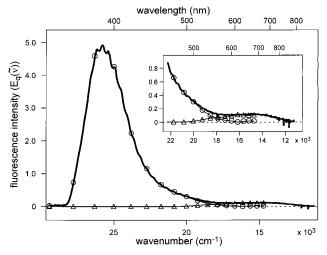
The observed time-resolved fluorescence intensity is the sum of the  $S_2$  fluorescence and  $S_1$  fluorescence so that it can be described as follows,

$$R(\tilde{v},t) = R_{S_2}(\tilde{v},t) + R_{S_1}(\tilde{v},t) = a_{S_2}(\tilde{v})\exp(-k_{S_2}t) + a_{S_1}(\tilde{v})\Phi k_{S_2}/(k_{S_2} - k_{S_1})\{\exp(-k_{S_1}t) - \exp(-k_{S_2}t)\}$$
(2)

where

$$k_{\mathrm{S}_2} = k_{\mathrm{vert}} + k_{\mathrm{ROT}}, \quad \Phi = k_{\mathrm{vert}} / (k_{\mathrm{vert}} + k_{\mathrm{ROT}})$$

Here,  $a_{S_2}(\tilde{\nu})$ ,  $a_{S_1}(\tilde{\nu})$  denote the intrinsic fluorescence intensity (transition probabilities) at wavenumber  $\tilde{\nu}$  of the two fluorescence states, and  $k_{S_2}$ ,  $k_{S_1}$  represent their population relaxation rates. The population relaxation rate of the  $S_2$  state ( $k_{S2}$ ) is the sum of the  $S_2 \rightarrow S_1$  electronic relaxation rate ( $k_{vert}$ ) and the rate of the other relaxation pathways ( $k_{ROT}$ ), including the rotational isomerization in the S2 state as well as the direct nonradiative  $S_2 \rightarrow S_0$  relaxation. Thus, the  $\Phi$  value represents the quantum yield of the  $S_2 \rightarrow S_1$  electronic relaxation that generates the planar S<sub>1</sub> state. The fitting analysis for the observed fluorescence traces was made using a convoluted form of  $R(\tilde{\nu},t)$  with a normalized instrumental response function (a Gaussian having fwhm of 228 fs). The results of the fitting are shown with solid curves in Figure 2. The observed data were well reproduced by the calculated curves, and we obtained the lifetimes of the  $S_2$ and S<sub>1</sub> fluorescence as ~110 fs (1/ $k_{S_1}$ ) and ~500 fs (1/ $k_{S_1}$ ), respectively. We also determined the amplitude of each component,  $a_{S_2}(\tilde{\nu})$  and  $a_{S_1}(\tilde{\nu})\Phi$ , as functions of wavenumber. When we normalize the time-resolved fluorescence intensity measured at different wavelengths (or frequencies), the  $a_{S_2}(\tilde{\nu})$ and  $a_{S_1}(\tilde{\nu})\Phi$  values represent the  $S_2$  and  $S_1$  fluorescence spectra. We normalized time-resolved fluorescence signals using the steady-state fluorescence spectrum.<sup>25,26,31-33</sup> By using the parameters determined by the fitting analysis, we could successfully decompose the steady-state fluorescence spectrum into the  $S_2$  and  $S_1$  fluorescence components, as shown in Figure 3.



**Figure 3.** Time-integrated spectra of the  $S_2(\pi\pi^*)$  and  $S_1(n\pi^*)$  fluorescence reconstructed from the time-resolved fluorescence signals. The same figure is expanded in the inset. The steady-state fluorescence spectrum is shown with a solid curve for comparison. Open circles and open triangles represent the decomposition into the  $S_2$  and  $S_1$  fluorescence, respectively.

**3.3.** The  $S_2 \rightarrow S_1$  Relaxation Quantum Yield. The  $S_2 \rightarrow S_1$  relaxation quantum yield,  $\Phi$ , is the key when we discuss the isomerization mechanism after  $S_2$  excitation, because the rotational isomerization starts from the  $S_2$  state whereas the inversion takes place in the  $S_1$  state after electronic relaxation. Since the  $S_1$  fluorescence intensity depends on how many molecules are relaxed to the  $S_1$  state, the fluorescence spectrum (or, more specifically, the intensity ratio between the  $S_2$  and  $S_1$  fluorescence) is very sensitive to the  $\Phi$  value. Thus we can evaluate the  $S_2 \rightarrow S_1$  relaxation quantum yield from the obtained fluorescence data.

By integrating the two terms in formula 2 in the time and frequency spaces, we obtain the following expression for the integrated intensity of the  $S_2$  and  $S_1$  fluorescence,

$$I_{S_2} = \int_0^{+\infty} d\tilde{\nu} \int_0^{+\infty} dt \, R_{S_2}(\tilde{\nu}, t) = \frac{1}{k_{S_2}} \int_0^{+\infty} a_{S_2}(\tilde{\nu}) \, d\tilde{\nu} \quad (3A)$$

$$I_{S_1} = \int_0^{+\infty} d\tilde{\nu} \int_0^{+\infty} dt \, R_{S_1}(\tilde{\nu}, t) = \Phi \frac{k_{S_2}}{k_{S_2} - k_{S_1}} \left(\frac{1}{k_{S_1}} - \frac{1}{k_{S_2}}\right) \int_0^{+\infty} a_{S_1}(\tilde{\nu}) \, d\tilde{\nu} \quad (3B)$$

As described in the previous section, the  $a_{S_2}(\tilde{\nu})$  and  $a_{S_1}(\tilde{\nu})$  values represent the intrinsic fluorescence transition probability of the  $S_2$  and  $S_1$  state at frequency  $\tilde{\nu}$ . Thus, the integration of the  $a_{S_i}$ (i = 1, 2) value in the frequency space yields a quantity proportional to the radiative decay rate, and hence it can be related to the oscillator strength,

$$\int_0^{+\infty} a_{\mathbf{S}_i}(\tilde{\nu}) \, \mathrm{d}\tilde{\nu} \propto \tilde{\nu}_{\mathbf{S}_i}^2 f_{\mathbf{S}_i} \tag{4}$$

Here,  $\tilde{\nu}_{S_i}$  is the peak frequency of the  $S_i \rightarrow S_0$  fluorescence and  $f_{S_i}$  is the oscillator strength of the transition between the  $S_i$  and  $S_0$  states. Combining formulas 3A, 3B, and 4, we obtain the following expression for the  $S_2 \rightarrow S_1$  electronic relaxation quantum yield  $\Phi$ ,

$$\Phi = \left(\frac{I_{S_1}}{I_{S_2}}\right) \left(\frac{k_{S_1}}{k_{S_2}}\right) \left(\frac{\int_0^{+\infty} a_{S_2}(\tilde{\nu}) \, \mathrm{d}\tilde{\nu}}{\int_0^{+\infty} a_{S_1}(\tilde{\nu}) \, \mathrm{d}\tilde{\nu}}\right) = \left(\frac{I_{S_1}}{I_{S_2}}\right) \left(\frac{k_{S_1}}{k_{S_2}}\right) \left(\frac{\tilde{\nu}_{S_2}}{\tilde{\nu}_{S_1}}\right) \left(\frac{f_{S_2}}{f_{S_1}}\right)$$
(5)

 TABLE 1: Assignments and Properties of the Two

 Fluorescence Decay Components

	component	
	$S_2(\pi\pi^*)$	$S_1(n\pi^*)$
peak wavelength, nm	390	665
Lifetime, ps	$\sim 0.110$	$\sim 0.500$
oscillator strength	0.51	0.01
radiative lifetime, a ns	4.4	670
fluorescence quantum yield <sup>b</sup>	$2.53 \times 10^{-5}$	$7.54 \times 10^{-7}$

<sup>*a*</sup> Radiative lifetime,  $\tau_{ri,}$  is related to the oscillator strength value,  $f_i$ , as  $\tau_{ri} = 1.499 \text{ cm}^{-2} s/\tilde{\nu}^2 f_i$ . <sup>*b*</sup> Fluorescence quantum yield is the ratio of fluorescence lifetime to the radiative lifetime.

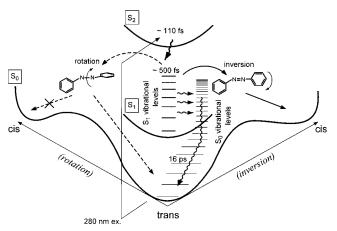
All the values on the right side of this formula can be obtained from the experimental data, so that we can evaluate the  $\Phi$  value. The integrated intensities of the S<sub>2</sub> and S<sub>1</sub> fluorescence,  $I_{S_2}$  and  $I_{S_1}$ , were obtained from the decomposed spectra of the steadystate fluorescence shown in Figure 3, and the ratio of  $(I_{S_1}/I_{S_2})$ was determined to be 0.032. The oscillator strength values of the S<sub>2</sub>( $\pi\pi^*$ )  $\leftarrow$  S<sub>0</sub> and the S<sub>1</sub>( $n\pi^*$ )  $\leftarrow$  S<sub>0</sub> transitions can be determined from the absorption spectrum by using the following formula,<sup>34</sup>

$$f = (4.32 \text{ x } 10^{-9}) \int \epsilon(\tilde{\nu}) \,\mathrm{d}\tilde{\nu} \tag{6}$$

where  $\epsilon(\tilde{\nu})$  is the molar absorption coefficient at frequency  $\tilde{\nu}$ . The oscillator strength of the  $S_1(n\pi^*) \leftarrow S_0$  transition was evaluated as 0.010 from the absorption spectra shown in Figure 1.<sup>35</sup> For the S<sub>2</sub> ( $\pi\pi^*$ )  $\leftarrow$  S<sub>0</sub> transition, we integrated absorption coefficient over the region from 257 to 370 nm and obtained an oscillator strength value of 0.511. Then, the oscillator strength ratio between the  $\pi\pi^*$  and  $n\pi^*$  transitions,  $f_{S_2}/f_{S_1}$ , was determined to be 51.1. The other parameters used for the evaluation of the  $\Phi$  value were already given, and they are as follows:  $1/k_{S_2} = 0.112 \text{ ps}, 1/k_{S_1} = 0.502 \text{ ps}, \tilde{\nu}_{S_2} = 25750 \text{ cm}^{-1}$ , and  $\tilde{\nu}_{S_1}$ = 15000 cm<sup>-1</sup>. Consequently, we obtained a value of 1.07  $(\pm 0.15)$  for the S<sub>2</sub>  $\rightarrow$  S<sub>1</sub> electronic relaxation quantum yield,  $\Phi$ . The obtained  $\Phi$  value can be regarded as unity within error, which means that the photoexcited S<sub>2</sub> state is almost exclusively relaxed to the planar S<sub>1</sub> state. Therefore, it is concluded that the rotational isomerization pathway that directly starts from the S<sub>2</sub> state does not exist, or, even if it exists, it is a very minor pathway.

Several important properties of the  $S_2$  and  $S_1$  states were also evaluated during the evaluation of the  $\Phi$  value. They are listed in Table 1.

3.4. Relaxation and Isomerization Pathway of trans-Azobenzene after S2 Excitation. The present fluorescence study clarified the relaxation process of azobenzene after the  $S_2(\pi\pi^*)$  $\leftarrow$  S<sub>0</sub> excitation and afforded very important information to consider the isomerization mechanism of this fundamental molecule. The essential points of the results are summarized as follows. The steady-state fluorescence spectrum exhibits both  $S_2$  fluorescence ( $\lambda_{max} \sim$  390 nm) and  $S_1$  fluorescence ( $\lambda_{max} \sim$ 665 nm), and these two fluorescence bands show good mirror images of the  $S_2(\pi\pi^*) \leftarrow S_0$  and  $S_1(n\pi^*) \leftarrow S_0$  absorption bands. Therefore, the fluorescing states are assigned to the  $S_2$  and  $S_1$ states that have planar structures around the central N=N bond. The lifetimes of the S<sub>2</sub> and S<sub>1</sub> states are determined to be  $\sim 110$ fs (S<sub>2</sub>) and  $\sim$ 500 fs (S<sub>1</sub>) by fluorescence up-conversion measurements. The quantum yield of the  $S_2 \rightarrow planar S_1$ relaxation process is found to be almost unity, which indicates that the molecules in the S<sub>2</sub> state are almost exclusively relaxed to the planar S1 state. These fluorescence data disclose that the rotational isomerization pathway starting directly from the S<sub>2</sub>



**Figure 4.** Schematic diagram of the relaxation and isomerization pathway of *trans*-azobenzene after the  $S_2(\pi\pi^*) \leftarrow S_0$  photoexcitation in hexane. See text.

state is negligible and that the isomerization following  $S_2$ photoexciation actually takes place in the S1 state after the electronic relaxation. It has been experimentally demonstrated that the isomerization in the  $S_1$  state occurs by the inversion mechanism.<sup>4</sup> Thus, it can be concluded that the isomerization of azobenzene occurs by inversion regardless of the difference in initial photoexcitation. Therefore, the present results strongly indicate that the isomerization mechanism after the S2 photoexcitation is the same as the mechanism following direct  $S_1 \leftarrow$ S<sub>0</sub> photoexcitation. In our previous work using picosecond timeresolved Raman spectroscopy,<sup>20</sup> we argued that the isomerization in the  $S_1$  state also contributes to the isomerization following  $S_2$  photoexcitation. Now, we can conclude that this isomerization pathway, the isomerization after  $S_2 \rightarrow S_1$  electronic relaxation, is in fact the major isomerization pathway after the S<sub>2</sub> photoexcitation. The planar  $S_1$  state observed by time-resolved absorption, Raman and fluorescence spectroscopy is assigned to the transient species from which the inversion isomerization starts. The relaxation and isomerization pathways following S<sub>2</sub> photoexcitation of azobenzene are sketched in Figure 4.

The isomerization mechanism of azobenzene was originally discussed on the basis of the isomerization quantum yield. This photochemical quantity was measured in several solvents, and it was reported to be 0.1-0.15 for S2 photoexcitaion and 0.24-0.31 for  $S_1$  photoexcitation.<sup>36–38</sup> Obviously, the isomerization quantum yield significantly depends on the excitation energy and the  $S_2$  excitation gives almost the half-value of the  $S_1$ excitation. This difference in the quantum yield was the major reason of the argument that the isomerization mechamism after S<sub>2</sub> photoexcitation is different from the mechanism following  $S_1$  photoexcitation.<sup>4</sup> More specifically, it was said that the  $S_2$ photoexcitation induces rotation around the NN double bond to generate the twisted excited state and the  $S_0$  cis isomer is produced from this twisted intermediate with a quantum yield of  $\sim 0.1$  (rotational isomerization). Since our study has clearly excluded the existence of this rotational pathway, we now need to reconsider and rationalize the difference in the isomerization quantum yield in a way consistent with the spectroscopic data, especially with the fact that almost all the S<sub>2</sub> state is relaxed to the planar S<sub>1</sub> state. As discussed in the following, the difference in the isomerization quantum yield indicates that another relaxation channel is open in vibrationally excited  $S_1$  states.

With photoexcitation at 280 nm, the molecule gains energy as high as  $\sim$ 35 700 cm<sup>-1</sup>. Since the energy dissipation to the

surrounding solvent takes place on a time scale of a few tens of picoseconds, almost all energy is still localized in the molecule even after the  $S_2 \rightarrow S_1$  relaxation. Since the lifetime of the generated S<sub>1</sub> state is also much shorter than the time scale of the energy dissipation, all the process in the  $S_1$  state is considered to occur while the molecule is vibrationally excited. This argument is experimentally supported by the previous picosecond Raman data, in which high-frequency anti-Stokes S<sub>1</sub> Raman bands were observed with quite high intensity.<sup>20</sup> Therefore, the small isomerization quantum yield obtained with  $S_2$  photoexcitation is attributable to the low isomerization efficiency of the vibrationally excited S<sub>1</sub> state. In other words, the isomerization quantum yield of the "vibrationally excited"  $S_1$  state, which is produced by the  $S_2 \rightarrow S_1$  electronic relaxation, is significantly lower than the quantum yield of the "relatively cold"  $S_1$  state that is generated by direct  $S_1 \leftarrow S_0$  photoexcitation. In fact, a tendency supporting this idea has been observed in the photochemical experiments of Zimmerman et al.<sup>37</sup> They measured the excitation wavelength dependence of the trans  $\rightarrow$ cis isomerization quantum yield and found that the quantum yield decreases with the increase of the excitation energy even within the S<sub>1</sub> absorption band: The isomerization quantum yield obtained with 436-nm excitation is 0.27, but it decreases down to 0.21 at 405-nm excitation. The isomerization quantum yield is determined by the ratio between the isomerization rate and the rate of the other relaxation pathways. Therefore, the isomerization quantum yield that decreases with the increase of the excess energy indicates that the trans  $S_1 \rightarrow$  trans  $S_0$ relaxation process is accelerated in the vibrationally excited S<sub>1</sub> state.

The data of the  $S_1$  lifetime also support the acceleration of the  $S_1 \rightarrow S_0$  relaxation process in the vibrationally excited  $S_1$ state. The lifetime of the  $S_1$  state that appears after the  $S_2$ excitation (280 nm) was determined to be  $\sim$ 500 fs in the present up-conversion measurement. On the other hand, the lifetime of the  $S_1$  state prepared by the direct red-edge  $S_1$  photoexcitation (503 nm) was reported to be  $\sim$ 2.6 ps.<sup>18</sup> The S<sub>1</sub> lifetime becomes significantly shorter with increase of the excess vibrational energy. Taking account of the decrease of the isomerization quantum yield, it is natural to attribute the shortening of the S1 lifetime to the acceleration of the  $S_1 \rightarrow S_0$  relaxation process in the vibrational excited  $S_1$  state.

Interestingly, the excess energy dependence of the isomerization quantum yield as well as that of the S<sub>1</sub> lifetime vanishes when the rotational motion of azobenzene is blocked by chemical modification. Rau and Lüddecke measured the trans  $\rightarrow$  cis isomerization quantum yield of a "blocked" azobenzene and found that the yield is kept almost constant even if the excitation condition is changed: 0.21 for the  $S_2(\pi\pi^*) \leftarrow S_0$ excitation and 0.24 for the  $S_1(n\pi^*) \leftarrow S_0$  excitation.<sup>4</sup> Lednev et al. performed femtosecond absorption spectroscopy for another blocked azobenzene and reported that the lifetime of the S<sub>1</sub> state appearing after  $S_2 \rightarrow S_1$  relaxation is exactly the same as the lifetime of the S<sub>1</sub> azobenzene that is generated by the S<sub>1</sub> rededge excitation (2.6 ps).<sup>19</sup> These facts suggest that the acceleration of the trans  $S_1 \rightarrow$  trans  $S_0$  relaxation in the vibrationally excited  $S_1$  state is due to the opening of a new relaxation channel that is related to the rotational coordinate. It seems that this "rotational" relaxation channel finally produces the trans S<sub>0</sub> state but does not generate the cis isomer.

It should be noted that the vibrationally excited  $S_1$  state we have discussed here may not be mere the "hot" S1 state in which the vibrational energy is statistically distributed over all the vibrational freedoms. Since the lifetime of the S<sub>1</sub> azobenzene produced by the  $S_2 \rightarrow S_1$  electronic relaxation is comparable to a time scale of the intramolecular vibrational redistribution process (IVR), it is likely that the statistical vibrational energy distribution is not achieved in the S<sub>1</sub> state. Therefore, it might be possible that the rotation relaxation channel we argued is open only in the vibrational excited S1 state before the intramolecular vibration redistribution process.

The time-resolved spectroscopic studies (absorption, fluorescence, and Raman) have successfully revealed photochemical dynamics of azobenzene following S<sub>2</sub> photoexcitation, and they allowed us to consider the isomerization process after S<sub>2</sub> and S<sub>1</sub> photoexcitation in a unified and consistent framework. To rationalize all the spectroscopic and photochemical data, it seems that we need to consider, at least, three relaxation channels in the  $S_1$  state, (1) the inversion isomerization channel, (2) the trans  $S_1 \rightarrow$  trans  $S_0$  relaxation channel that is open even in the cold  $S_1$  state, and (3) the trans  $S_1 \rightarrow$  trans  $S_0$  rotational relaxation channel that is open only in the vibrationally excited  $S_1$  state. (The radiative decay channel is not important for azobenzene because the fluorescence quantum yield is very low.) The elucidation of these three relaxation channels in the  $S_1$  state is very crucial for full understanding of azobenzene photochemistry, and, we think, it is a central issue for the future experimental and theoretical study of this important molecule.

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## **References and Notes**

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